

GP/2152
#4



Attorney's Docket No. 003801.P026

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Patent Application of:)
)
Barry Boone)
)
Application No.: 09/740, 502)
)
Filed: December 19, 2000)
)
For: Method And Apparatus For Providing)
Predefined Feedback)
_____)

Examiner: Not Yet Assigned
Art Unit: 2152

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. 2.107-1)

I hereby certify that this document was deposited with the United States Patent and Trademark Office with sufficient postage to insure its delivery to the Assistant Commissioner for Patents.

on August 15, 2001
Debbie Pelquin
Name of Person in Charge
Debbie Pelquin 8-15-01
Signature Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

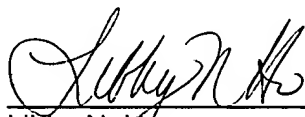
- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
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- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Dated: Aug. 15, 2001



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Los Angeles, CA 90025-1026
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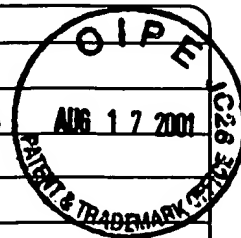
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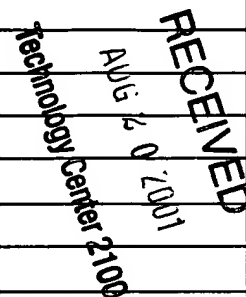
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/740,502
				Filing Date	December 19, 2000
				First Named Inventor	Barry Boone
				Group Art Unit	2152
Examiner Name	Not Yet Assigned				
Sheet 7 of 7	Attorney Docket Number 003801.P026				



U.S. PATENT DOCUMENTS				
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FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS		
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	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1	

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Sheet	6	of	7		

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		VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	✓
		LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	✓
		KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	✓
		TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	✓

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U.S. PATENT DOCUMENTS

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	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electrnica Markets</u> , October 1993, No. 9/10	✓
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	✓
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3	✓
	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	✓

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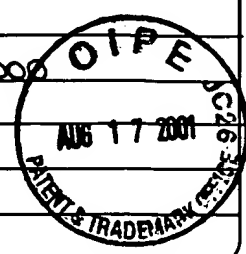
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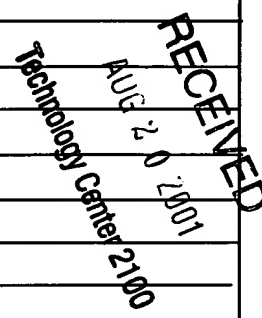
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	5,850,442	Muftic	12/15/1998	3/26/1996



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OTHER DOCUMENTS		
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	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

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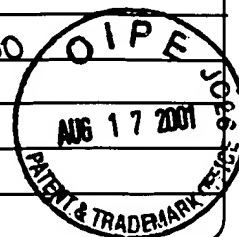
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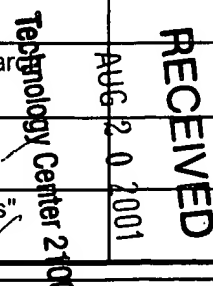
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	5,426,281	Abecassis	6/20/1995	10/29/1993
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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technocal Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
	LEE, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	



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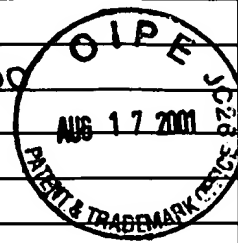


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Sheet	2	of	7	Attorney Docket Number	003801.P026



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	5,136,501	Silverman, et al.	8/4/1992	5/26/1989	
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	Office or Country	Number	Date			
	Netherland	9300266	2.10.1993			
	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

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		ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Reaearch - Electrnic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
		MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquifyty", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
		POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechinism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3	
		HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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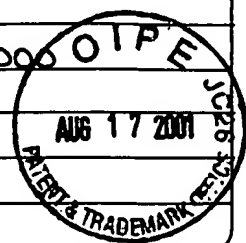
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	PCT WO 96/34356 4/26/1996	Fleanet, Inc.	10/31/1996	
	PCT WO 97/37315 3/19/1997	Onsale, Inc.	10/9/1997	

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	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
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	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
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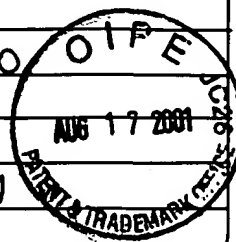
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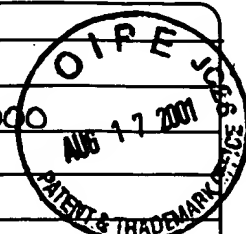
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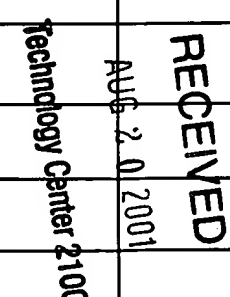
Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Applicant Number	09/740,502	
			Filing Date	December 19, 2000	
			First Named Inventor	Barry Boone	
			Group Art Unit	2152	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	003801.P026



U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate	
	5,884,056	Steele	3/16/99	12/28/95	
	5,598,557	Doner et al.	1/28/97	9/22/92	
	5,694,546	Reisman	12/2/97	5/31/94	
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	5,872,848	Romney et al.	2/26/99	2/18/97	

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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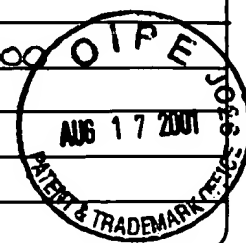
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Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
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	6,035,402	Vaeth et al.	3/7/2000	12/20/96
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	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
	PCT	US99/18510	10/23/98		8/12/99	
	PCT	US00/32088	4/4/2001		11/20/2000	

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	CHRIS PREIST, MARTEN VAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistent Shout Double Auction</u> , (c) 1999, Pages 2.	

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